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**HERITAGE  
PERMIT  
GRANTED UNDER SECTION 102 OF THE  
HERITAGE ACT 2017**

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**Permit No.:** P34308

**Applicant:**



**NAME OF PLACE/OBJECT:** ROCKBANK INN

**HERITAGE REGISTER NUMBER:** H1933

**LOCATION OF PLACE/OBJECT:** 1902-1990 WESTERN HIGHWAY, AINTREE

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**THE PERMIT ALLOWS:** *Archaeological investigations of the site in accordance with the 'Archaeological Assessment and Research Design' document in 45 pages by Extent Heritage Advisors and dated December 2020, as endorsed by the Executive Director, Heritage Victoria and forming part of this permit.*

**THE FOLLOWING CONDITIONS APPLY TO THIS PERMIT:**

1. The permission granted for this permit shall expire if the permitted works have not commenced within one (1) year of the original date of issue of this permit, or are not completed within two (2) years of the original date of issue of this permit unless otherwise agreed in writing by the Executive Director, Heritage Victoria.
2. The Executive Director, Heritage Victoria is to be given five (5) working days' notice of the intention to commence the approved works. The Executive Director, Heritage Victoria must be informed when the approved works have been completed.
3. **Historical archaeological investigations**
  - a. **Excavations:** A program of detailed site investigations must be undertaken in the areas designated in Figure 23 of the endorsed document forming part of this permit, including areas of the former stables and the interior space of the main inn structure. The areas for investigation in the area of the former stables must be expanded to allow the investigation of potentially significant archaeological features and deposits, if directed by the Executive Director, Heritage Victoria (and be to the satisfaction of the Executive Director, Heritage Victoria).
  - b. In addition to the areas specified in condition 3a. (above), the following areas must also be investigated to the satisfaction of the Executive Director, Heritage Victoria:
    - at least two (2) trenches measuring approximately 2 x 2 metres each in the area of the former storeroom/kitchen (between the inn and the stables); and
    - an area that may contain an artefact scatter or refuse deposit adjacent to the western side of the stables area; and
    - one to two areas of cobbling and paved surfaces to the south of the inn; and

- two (2) sample trenches (measuring approximately 2 x 2 metres each) in open space areas that have the potential to contain archaeological remains associated with visitation and overnight accommodation at the site.
- c. All archaeological features, contexts, deposits and artefacts must be investigated and recorded in accordance with the submitted methodology (as detailed in Sections 6.3 – 6.6 of the submitted application), and in accordance with Heritage Victoria's *Guidelines for Investigating Historical Archaeological Artefacts and Sites* (July 2015).
- d. **Project reporting:** The project report must be submitted within 12 months of the date of the completion of archaeological fieldwork conducted under this permit. Any required additions or amendments to the submitted report must be made to the satisfaction of, and within the timeframe specified by, the Executive Director, Heritage Victoria.
- e. The report must address the requirements of Heritage Victoria's *Guidelines for Investigating Historical Archaeological Artefacts and Sites* (July 2015), and be to the satisfaction of the Executive Director, Heritage Victoria. The report must include (but is not limited to) archaeological records, synthesis of findings and results; results of background historical research; plans and images, addressing of the Research Design (as detailed in Section 6.2 of the application); artefact catalogue and analysis, and details of artefact conservation, and production of revised Statements of Significance for both the archaeological values of the place and any recovered artefact assemblage.
- f. **Artefact Retention/Discard:** All historical archaeological artefacts likely to be more than 75 years of age are to be initially retained and listed in the required project catalogue, with the exception of artefacts that may be hazardous to health. Building materials may be sampled. Artefact discard can take place only if in accordance with a Retention/Discard Policy, submitted to and approved by the Executive Director, Heritage Victoria. A significance-based assessment of the artefact collection must be developed at the completion of the fieldwork, catalogue and site analysis work. This will form the basis for the Artefact Retention/Discard Policy. The policy should also consider artefact condition, research value, sampling, representativeness and other relevant factors.
- g. **Artefact conservation:** The applicant is liable for all expenses arising from the conservation, storage, and management of any significant historical archaeological artefacts that are recovered during works.
- h. If any artefacts with urgent conservation requirements are recovered during the fieldwork, a qualified and experienced conservator (approved by the Executive Director) must conduct an assessment as soon as is practicable and provide appropriate conservation treatments to the satisfaction of the Executive Director, Heritage Victoria.
- i. **Artefact Conservation Plan (ACP):** If significant artefacts are recovered that are likely to be retained, an ACP is required to be submitted to Heritage Victoria within two months of the completion of the fieldwork. The ACP must include the details of the proposed conservation treatments, and the details and rationale for the artefact selections. The conservation program proposed in the ACP must be approved by and implemented to the satisfaction of the Executive Director, Heritage Victoria. The ACP must be informed by the post-excavation evaluation of site, context and artefact significance.
- j. All retained artefacts must be conserved to at least a stable condition, and to the satisfaction of the Executive Director, Heritage Victoria. Metal artefacts, or other artefacts with conservation requirements, that have not been conserved to at least a stable condition will not be accepted by Heritage Victoria for storage. All details of the artefact conservation program must be included in the

project report. All required artefact conservation work must be completed within 10 months of the date of the completion of archaeological fieldwork conducted under this permit.

4. **Site stabilisation works:** This permit authorises temporary site stabilisation works and measures (as outlined in Section 6.3.1 of the application) which may be required to ensure a safe working place for all on-site personnel, subject to approval from the Executive Director, Heritage Victoria.



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**NOTE THAT PERMISSION HAS BEEN GIVEN FOR INSPECTIONS OF THE PLACE OR OBJECT TO BE UNDERTAKEN DURING THE CARRYING OUT OF WORKS, AND WITHIN SIX (6) MONTHS OF NOTIFICATION OF THEIR COMPLETION.**

**TAKE NOTICE THAT ANY NATURAL PERSON WHO CARRIES OUT WORKS OR ACTIVITIES NOT IN ACCORDANCE WITH THE PERMIT OR CONDITIONS IS GUILTY OF AN OFFENCE AND LIABLE TO A PENALTY OF 120 PENALTY UNITS (\$19,826 AS AT JULY 2019) OR IN THE CASE OF A BODY CORPORATE 600 PENALTY UNITS (\$99,132 AS AT JULY 2019) UNDER s104 THE HERITAGE ACT 2017.**

**WORKS UNDERTAKEN WITHOUT A PERMIT OR PERMIT EXEMPTION CAN INCUR A FINE OF UP TO 4800 PENALTY UNITS (\$793,056 AS AT JULY 2019) FOR A NATURAL PERSON OR 5 YEARS IMPRISONMENT OR BOTH AND UP TO 9600 PENALTY UNITS (\$1,586,122 AS AT JULY 2019) IN THE CASE OF A BODY CORPORATE UNDER SECTION 87 OF THE HERITAGE ACT 2017.**

**THE ATTENTION OF THE OWNER AND/OR APPLICANT IS DRAWN TO THE NEED TO OBTAIN ALL OTHER RELEVANT PERMITS PRIOR TO THE COMMENCEMENT OF WORKS.**

<b>Date Issued:</b>  <b>21 January 2021</b>	<b>Signed on behalf of the Executive Director, Heritage Victoria:</b>    <b>Janet Sullivan Principal Heritage Permits</b>	
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#### **IMPORTANT INFORMATION ABOUT THIS PERMIT**

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##### **WHAT HAS BEEN DECIDED?**

The Executive Director, Heritage Victoria has issued a permit under s102 of the *Heritage Act 2017*.

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#### **WHEN DOES THE PERMIT BEGIN?**

The permit operates from the day the permit is signed by the Executive Director, Heritage Victoria or their delegate.

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#### **WHEN DOES A PERMIT EXPIRE?**

A permit expires if -

- \* the development or any stage of it does not start within the time specified in the permit; or
- \* the development or any stage is not completed within the time specified in the permit, or, if no time is specified, within two years after the issue of the permit.

The expiry of a permit does not affect the validity of anything done under that permit before the expiry.

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#### **WHAT ABOUT REVIEW OF THE DETERMINATION?**

The applicant or the owner of a registered place or registered object may ask the Heritage Council of Victoria to review any condition of a permit imposed by the Executive Director, Heritage Victoria on a permit issued under s102 of the *Heritage Act 2017*.

A request must -

- \* be in writing; and.
- \* be lodged within 60 days after the permit is issued.

Review request forms can be downloaded at:

[www.heritagecouncil.vic.gov.au/hearings-appeals/permit-appeals/permit-appeals-explained/](http://www.heritagecouncil.vic.gov.au/hearings-appeals/permit-appeals/permit-appeals-explained/)